



05-03-02

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PATENT

Our Docket: P-TB 5067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re application of:  
Hansen et al.

Serial No.: 10/032,395

Filed: December 21, 2001

For: METHODS FOR DETERMINING  
POLYPEPTIDE STRUCTURE,  
FUNCTION OR PHARMACOPHORE  
FROM COMPARISON OF  
POLYPEPTIDE SEQUENCES

) Group Art Unit: 1651

) Examiner: Unassigned

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"

) "EXPRESS MAIL" MAILING LABEL NUMBER: EV 065 746 689 US

) DATE OF DEPOSIT: May 1, 2002

) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
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Karyl Tambara

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(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

TRANSMITTAL

Responsive to the NOTICE TO COMPLY WITH THE  
REQUIREMENTS OF 37 C.F.R. 1.821-1.825 in the NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION mailed March 1, 2002,  
the following are provided:

- X (1) a copy of the NOTICE;
- X (2) a copy of the sequence listing in computer  
readable form;
- X (3) a Preliminary Amendment with attached Appendix A;
- X (4) paper copy of sequence listing, pages 1  
through 2;
- X (5) a statement under 37 CFR §1.821(f) and (g);
- (6) a Petition for Extension of Time; and
- (7) a check in the amount of \$\_\_\_\_\_ to cover the fee  
for the \_\_\_-month extension of time.
- X (8) Supplemental Bibliographic Data Sheet.

DISK TO STIC

DATE: \_\_\_\_\_



Inventors: Hansen et al.  
Serial No.: 10/032,395  
Filed: December 21, 2001  
Page 2

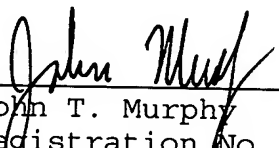
The PTO did not receive the following  
listed Items(s) A Check of \$1,141.00

X (9) A check in the amount of \$1,246.00, to cover the  
filing fee of \$1,141.00, the surcharge fee of  
\$65.00, and \$40.00 for recording an Assignment is  
being concurrently filed and sent to Commissioner  
for Patents Washington, D.C. 20231 Attention:  
Box Missing Parts.

Please charge any additional fees or credit any  
overpayment to Deposit Account No. 03-0370. A duplicate copy of  
this sheet is enclosed.

Respectfully submitted,

Date: May 1, 2002

  
\_\_\_\_\_  
John T. Murphy  
Registration No. 50,583  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
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# Fee Collection

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P-TB 5067

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203	A	9.00	67		603.00		603.00	C
202	A	42.00	4		168.00		168.00	C
205	A	65.00	1		65.00		65.00	C
581	A	40.00	1		40.00		40.00	B

TOTAL : \$1,246.00

\$1,246.00

Balance Owed:

Excess Payment Balance:

05/07/2002

BNGUYEN1

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Document: SUPPLEMENTAL BIBLIOGRAPHIC  
DATA SHEET (2 pages)  
Attorney Docket No: P-TB 5067

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Karly Tambara

Printed Name of Person Mailing Paper or Fee

Uk Tambara

Signature of Person Mailing Paper or Fee



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Document: PAPER COPY OF SEQUENCE  
LISTING PAGES 1-2  
Attorney Docket No: P-TB 5067

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Karly Tambara

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Ktambara

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# 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,395	12/21/2001	Mark R. Hansen	P-TB 5067

CONFIRMATION NO. 5417

## FORMALITIES LETTER



\*OC000000007560145\*

CAMPBELL & FLORES LLP  
7th Floor  
4370 La Jolla Village Drive  
San Diego, CA 92122

Date Mailed: 03/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/03/2002 NMOHAMMI 00000079 10032395

01 FC:201	370.00 OP
02 FC:203	603.00 OP
03 FC:202	168.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1542.
  - \$1206 for 67 total claims over 20.
  - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2412.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file


in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Document: ONE EXECUTED POWER OF  
ATTORNEY (2 pages)  
Attorney Docket No: P-TB 5067

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Karly Tambara

Printed Name of Person Mailing Paper or Fee

Kfambara

Signature of Person Mailing Paper or Fee

Inventors: Hansen et al.  
Serial No.: 10/032,395  
Filed: December 21, 2001  
Page 5

I understand that any attempt to establish Small Entity Status improperly, deceptively or fraudulently will be considered a fraud practiced on the USPTO and may result in abandonment of the application or jeopardize the validity and enforceability of any resulting patent. § 1.27(h).

4/19/02  
Date

Daniel Sem  
Name: Daniel Sem  
Title: VP for Biophysics

TRIAD THERAPEUTICS, INC.  
9381 Judicial Drive  
San Diego, California 92121, USA



Document: ONE EXECUTED STATEMENT  
UNDER 37 C.F.R. § 3.73 (b) WITH  
ATTACHED COPY OF ASSIGNMENT  
(6 pages)  
Attorney Docket No: P-TB 5067

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20231.

Karly Tambara

Printed Name of Person Mailing Paper or Fee

Klambara

Signature of Person Mailing Paper or Fee



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Attorney Docket No: P-TB 5067

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Karly Tambara

Printed Name of Person Mailing Paper or Fee

Karly Tambara

Signature of Person Mailing Paper or Fee



VB

05-02-02

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Our Docket: P-TB 5067

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Group Art Unit: 1651
Hansen et al.	)	
Serial No.: 10/032,395	)	Examiner: Unassigned
Filed: December 21, 2001	)	CERTIFICATE OF MAILING BY: "EXPRESS MAIL"
For: METHODS FOR DETERMINING	)	"EXPRESS MAIL" MAILING LABEL NUMBER: EV 065 746 701 US
POLYPEPTIDE STRUCTURE,	)	DATE OF DEPOSIT: May 1, 2002
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COMMISSIONER FOR PATENTS		Karly Tambara
WASHINGTON, D.C. 20231		(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)
Attention: BOX MISSING PARTS		Klambara
		(SIGNATURE OF PERSON MAILING PAPER OR FEE)

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed  
March 1, 2002, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;
- X 2. An executed Declaration for Patent Application;
- X 3. A Recordation Form Cover Sheet and an executed Assignment;
- X 4. An executed Small Entity Statement;
- X 5. An executed Power of Attorney for Patent Application by Assignee;
- X 6. An executed Statement Under CFR 3.73(b) with copy of executed Assignment.
- X 7. A check in the amount of \$1,246.00, to cover the filing fee of \$1,141.00, the surcharge fee of \$65.00, and \$40.00 for recording an Assignment for the application is enclosed.
- X 8. A paper copy of the Sequence Listing, pages 1 through 2 and a computer-readable copy are being concurrently filed and sent to United States Patent and Trademark Office, Attention Box Sequence, P.O. Box 2327, Arlington, Virginia 22202.
- X 9. A Preliminary Amendment regarding the Sequence Listing is being filed concurrently and addressed to United States Patent and Trademark Office, Attention Box Sequence, P.O. Box 2327, Arlington, Virginia 22202. A copy of the Preliminary Amendment is enclosed.

Inventors: Hansen et al.  
 Serial No.: 10/032,395  
 Filed: December 21, 2001  
 Page 2

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	87-20	=	67	x	\$9.00	\$18	=	\$603.00
INDEPEN- DENT CLAIMS	7-3	=	4	x	\$42.00	\$84	=	\$168.00
MULTIPLE DEPENDENT CLAIMS PRESENTED: _____ YES _____ X NO					\$140	\$280	=	\$0.00
					BASIC FEE			\$370.00
					TOTAL FEE			\$1,141.00

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 C.F.R. 1.16.

X Any patent application processing fees under 37 C.F.R. 1.17.

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: May 1, 2002

By: John T. Murphy  
 John T. Murphy  
 Reg. No. 50,583

CAMPBELL & FLORES LLP  
 4370 La Jolla Village Drive  
 7<sup>th</sup> Floor  
 San Diego, California 92122  
 Telephone: (858) 535-9001  
 Facsimile: (858) 535-8949  
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Document: RECORDATION COVER SHEET  
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Attorney Docket No: P-TB 5067

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Karly Tambara

Printed Name of Person Mailing Paper or Fee

Kfambaa

Signature of Person Mailing Paper or Fee



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STATEMENT (5 pages)  
Attorney Docket No: P-TB 5067

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Karly Tambara

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